WM&R INTRODUCTION TO THE FINAL TEST

R. Basili, a.a. 2022-23

Overview

- Program Overview
- 2° Midterm:
 - <u>Closed Question examples</u>
 - Open Questions: examples
- Dates of the Exam
- Final Projects: impact on evaluation and modalities

Course Structure

Two major topics

- Advanced topics in Machine Learning
 - From NB to HMMS
 - Statistical Learning Theory
 - SVMs and Kernel Machines
 - Neural Networks, Deep Learning, Foundational Models
 - Web Mining applications: Document Classification, Natural Language Processing, Language Models, Question Classification, Framenet Semantic Role Labeling, Sentiment Analysis, Social Media analysis and Question Answering
- Different cross-relations between the two sections:

Examples:

- Supervised Learning (es. NB) vs. Text Classification
- Neural Neworks vs. Language Modeling
- Matrix decomposition vs. Mining of Lexical resources
- HMMs vs. NLU (Semantic Role Labeling)
- Semisupervised NN learning vs. Sentiment Analysis

The program synthesis (1)

Lezioni (Lessons Slide)

- In questa sezione verranno pubblicate le diapositive delle lezioni e altri materiali didattici.
- Lesson 0: WMR a.a. 2022-23: Introduction: Course Organization and Exam Modalities.
- Lesson 1: Introduction to Web Mining & Retrieval.
 - Some slides of Lesson 1 refer to the discussion of the link: "A visual introduction to ML", slide 24).
 - Lesson 1.1: Machine Learning: target problems and major paradigms.
- Lesson 2: Introduction to Geometrical models of text classification: Profile-based classification, Rocchio and k-NN.
- Lesson 3: Machine Learning Metrics and Evaluation (part I: metrics for Text Classification).
- Lesson 4 : Probability and Learning: an introduction to Naive Bayes classifiers.
- Lesson 5: (A gentle) Introduction to PAC learning and VC dimension.
 The slides used for the Course have been postedited from a kindly published version by Ethem Alpaydin, that you can find HERE.
 - C. Burges's Tutorial on SVM and VC dimension.
 - D. Haussler discussion of PAC Learning, 1999.
 - Valiant L. G. A Theory of the Learnable, Communications of the ACM, Volume 27 Issue 11, Nov. 1984 Pages 1134-1142 .
- Lesson 6: Support Vector Machines.
 - An animated Perceptron.
 - Dan Klein's tutorial on Lagrange methods for the SVM optimization problem.
- Lesson 7 Kernel-based learning first part: from kernel definition to String Kernels.
- <u>Lesson 8</u>: Introduction to language modeling: from Information Retrieval tasks to Natural Language Processing.

Short Bibliography as an Introduction to NLP and Text Mining:

- Context-free grammars: "Linguaggi, Modelli, Complessit`a" G. Ausiello, G. Gambosi, F. D'Amore, A technical introduction in Italian.
- "On Certain Formal Properties of Grammars" (N. Chomsky, 1959)
- Noam Chomsky's "Syntactic Structures", the 1957 book

The program synthesis (2)

Lesson 9: Language Modeling - an Introduction to Hidden Markov Models for Sequence Labeling.

Complementary Materials:

- Lesson 9a. Parameter Estimation for Language Modeling: the Baum-Welch algorithm.
- Lesson 9b. Parameter Estimation and Rare Phenomena in Language Modeling.
- **w** <u>Lesson 10</u>: Introduction to Neural Networks and Deep Learning Architectures: a mini Course.
 - Lesson 10.1 An Introduction to Neural Learning. The MultiLayer Perceptron: defining and training MLPs.
 - Lab 1 Introduzione a Keras: the XOR example.
 - Lab 2a A Linear classifier and a MLP for image classification over the MNIST dataset in Keras.
 - Lab 2b A Linear classifier and a MLP for image classification over the MNIST dataset in Pytorch.
 - Lesson 10.2 Deep Learning: Complex Architectures and Tasks: Convolutional Neural Networks

References and resources:

- <u>Gradient Descent and Perceptron training</u>, "A Tutorial on Deep Learning, Part 1: Nonlinear Classifiers and The Backpropagation Algorithm", Quoc V. Le (Google), (fino a sezione 6 compresa)
- Backpropagation on NNs, "Sparse autoencoder", from the lessons of Andrew Ng (Stanford University, USA), (fino a pagina 12)
- <u>Advanced Architectures</u>, "A Tutorial on Deep Learning, Part 2: Autoencoders, Convolutional Neural Networks and Recurrent Neural Networks", by Quoc V. Le (Google Brain)
- Deep Learning, "Learning Deep Architectures for AI", Yoshua Bengio Dept. IRO, Universite' de Montreal, CA.
- An interesting in-depth analysis on CNN

• Software packages for Deep Learning:

- TensorFlow
- Anaconda
- Torch
- Keras
- Tensorflow
- Pytorch

The program synthesis (3)

- Lesson 10: Introduction to Neural Networks and Deep Learning Architectures: a mini Course.
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- An interesting in-depth analysis on CNN
- Software packages for Deep Learning:
 - TensorFlow
- Convolutional Neural Networks for Visual Recognition: CNNs for Visual Recognition on GitHub
- Lesson 10.3 Recurrent Neural Networks, Encoder and Decoder Networks
- Lesson 10.4. Word embeddings as distributional semantic lexical representations.
- Lesson 10.5 Neural Word Embeddings: between Language Modeling and Lexical Acquisition
- Lesson 10.6 Attention: the introduction to Transformers
- Lesson 10.7 Beyond Transformers: from zero-shot and few-shot learning to large language model prompting
- Lezione 11 Short Introduction to Opinion Mining.

See also:

- Tutorial on Opinion Mining (by B. Liu)
- o Book Chapter on Opinion Mining and Sentiment Analysis (by Bo Pang and Lillian Lee)

Lezione 12 A case study: Sentiment Analysis in Twitter.

Final Test

- Second Mid Term (on the second half of the program, Lesson (9)10-11)
 - Written test (on-line):
 - CAQ. 10/12 closed answer questions (about 30 mins)
 - OQ. 1 open question (about 90 mins)
 - Oral discussion on OQ (+ error analysis)
- First Final Written test (on the full program) :
 - CAQ. 13/15 closed answer questions (about 40-45 mins)
 - OQ. 1 open question (about 90 mins)
 - Oral discussion on OQ (+ error analysis)
- Final Oral discussion (due for 9 CFU, optional for the others):
 - The final project (max 2/3 people)

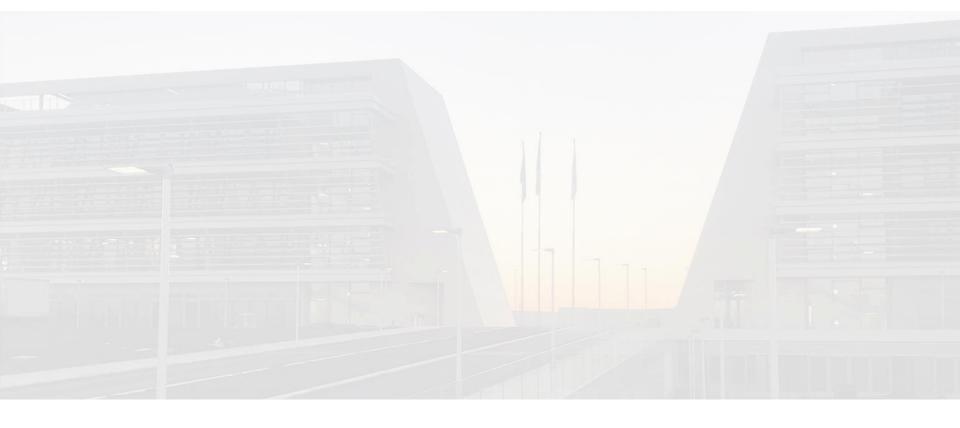
Topic of the second half

- 10/12 question in the TRM
- Targeted Topics:
 - SVM and kernels
 - Neural Networks
 - Distributional semantics and Neural LMs
 - Opinion Mining

Overview

- Program Overview
- 2° Midterm:
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Closed Answer Questions: examples



Questions about NNs

- What is the definition for a convolutional neural network and which are the main differences with recurrent neural networks
- What is a *non linear activation function*? What is its contribution to the training process?
- Which ones among the following techniques is specifically devoted to improve the model optimization stage of a NN (for example, by avoiding *overfitting*)?
 - a) Dropout
 - b) Early Stop
 - c) Input normalization
 - d) The Stochastic Gradient Descent

Questions about NNs

- Discuss the notion of Loss Function that characterizes the training of a neural network
- The backpropagation method allows to:
 - a) Improve the efficiency of the learning process of a NN
 - b) Maximize the Loss function over the validation data set
 - c) Minimize the Loss function over the training data
 - d) Maximize the Loss function over the training data
- What is a Recurrent Neural Network?
- How can we control the *number of epochs* required to train a NN?

Questions (cont)

- What is the advantage in adopting the *mini-batch* policy during the training stage with respect to batches based on one single instance?
- Formalize the *Stochastic Gradient Descent* algorithm and discuss its objectives.
- The Back Propagation through Time technique allows to maximize the Loss function in:
 - a) Recurrent Neural Networks
 - b) Convolutional Neural Networks
 - c) Neural Networks made by a single perceptron
 - d) None of the Other
- What is the Vanishing Gradient?

LSA (1)

- Let M= ((1 -1) (1 1) (-1 1)) be the initial co-occurrence matrix (Vocabulary V={t1,t2}). Determine the value σ₁ of the <u>largest</u> singular value
- R1: It is not possible: the problem is under determined
- R2. σ₁=2
- R3. σ₁=1
- R4. $\sigma_1 = \sqrt{2}$

Closed Questions (3)

- Determine the correct definition for the sentiment classification task amon the following:
 - (A) At document level this task corresponds to sentence classification into positive, neutral and negative polarity classes
 - (B) At sentence level the task consists into recognizing the features of objects to which the sentence sentiment makes reference
 - (C) At sentence level there are two tasks: (1) identification of subjective sentences in the input text; (2) polarity classification of individual sentences
 - (D) The task consists in the grouping of synomim expressions by which opinion holders may make reference to the object features
 - (E) None of the others corresponds to an acceptable definition

Closed Questions (4)

Signal the correct answer among the following ones:

- a) Sentiment Analysis over Twitter is generally a simple task as the text corresponding to a *tweet* is limited in size.
- b) User opinions in the social networks are not much interesting for the companies.
- c) Sentiment Analysis is the computational study of opinions and sentiment expressed in texts.
- d) Sentiment Analysis only rely on *machine learning* algorithms.
- e) Sentiment Analysis is the computational study of the opinions and sentiment espressed by the topic of a text (e.g. an event or an entity)

Closed Questions (5)

Determine the correct definition among the following:

- a) Distributional semantics methdos (e.g. LSA or wordspaces) cannot be adopted for the relevance feedback methods as they use vectors as representation models for terms.
- b) Distributional semantics methdos cannot be adopted for the relevance feedback methods as they use lexical objects (i.e. symbols in the word dictionary) as representation models for terms and cannot be combined algebraically
- c) None of the others
- d) With *relevance feedback* we can impact only performances in terms of improvements in *precision*.

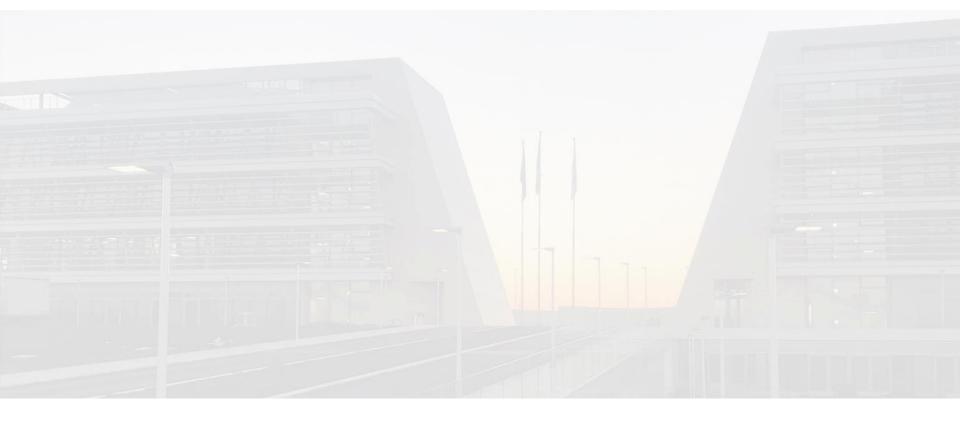
Questions about CNNs

- In a convolutional layer composed by 10 filters each of a dimension 3x3, and a stride value equal to 1, what is the number of parameters to be fine-tuned during training :
- 1) 100
- 2) 90
- 3) 9
- 4) 900
- 5) None of the other

Other Questions about CNNs

- What is the tensor dimension of the output of a convolutional layer made of 10 filters whose type is 3x3 to an image of dimension 28x28 with a stride equal to 1 and a null padding (i.e., 0 padded cells).
- 1) 6760 (26x26x10)
- 2) 676
- 3) 7840 (28x28x10)
- 4) 10
- 5) None of the others

Closed answer Questions: solutions



Questions about NNs

- What is the definition for a convolutional neural network and which are the main differences with recurrent neural networks
- What is a *non linear activation function*? What is its contribution to the training process?
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 - d) The Stochastic Gradient Descent

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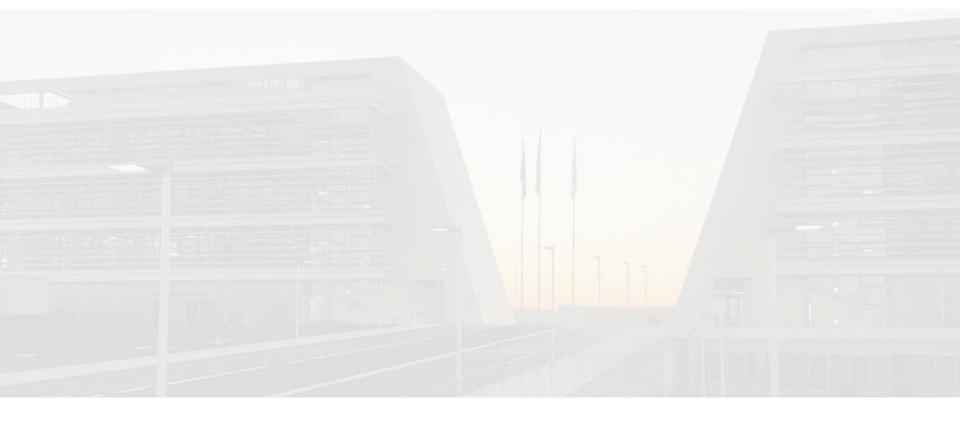
Questions (cont)

- What is a Recurrent Neural Network?
- How can we control the *number of epochs* required to train a NN?
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Answers



Latent Semantic Analysis (1)

- Let M= ((1 -1) (1 1) (-1 1)) be the initial co-occurrence matrix (Vocabulary V={t1,t2}). Determine the value σ_1 of the <u>largest</u> singular value
- R1: It is not possible: the problem is under determined
- R2. σ₁=2
- R3. $\sigma_1 = 1$
- R4. $\sigma_1 = \sqrt{2}$

LSA (1): solution

- Singular values are the square roots of the eigenvalues of the matrix $M^T M$
- As:
 - $M^{T} = ((1 1) (1 1) (-1 1))^{T} = ((1 1 1) (-1 1 1))$ and $M^{T}M = ((3 - 1) (-1 3))$
- The eigenvalues λ satisfy the equation:

 $det(M^{T}M - \lambda I) = 0$

that is: $\lambda^2 - 6\lambda + 8 = 0$

• From which: $\lambda_1 = 4$ $\lambda = 2$

• So for the largest (i.e. λ_1): $\sigma_1 = 2$

Latent Semantic Analysis (1)

- Let M= ((1 -1) (1 1) (-1 1)) be the initial co-occurrence matrix (Vocabulary V={t1,t2}). Determine the value σ_1 of the <u>largest</u> singular value
- R1: It is not possible: the problem is under determined (-)
- R2. σ₁=2 (+)
- R3. σ₁=1 (-)
- R4. σ₁ =√2 (-)

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Determine the correct definition among the following:

- a) Distributional semantics methdos (e.g. LSA or wordspaces) cannot be adopted for the semantic role labeling tasks as they use vectors as representation models for terms.
- *Distributional* semantics methdos cannot be adopted for the *semantic role labeling* tasks as they use lexical objects (i.e. symbols in the word dictionary) as representation models for terms and cannot be combined algebraically into a kernel
- c) None of the others
- d) With *semantic role labeling* we can improve the performances in terms of *recall* of grammatical parser.

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Exam: Open Question Topics

- Program section concerning MidTerm 1.
 - Generative Models
 - Modeling Sequence Labeling Tasks through generative models
 - Estimating probabilities for SLTs
 - Applications of Automatic Classification: a comparative discussion
 - Statistical Learning Theory
 - Support Vector Machines
 - Kernels
 - Latent Semantic Analysis

Exam: Open Topics (2)

- Program section conerning MidTerm 2
 - Statistical Learning Theory
 - Support Vector Machines: Kernels and Kernel machines
 - Neural Network Learning
 - MLP, CNNs, RNNs, Encoder-Decoder architectures: Motivations and Objectives. Examples (e.g. BERT)
 - Applications
 - Program Section 2: Statistical NLP and Social Web applications.
 - Embedding for Web Applications
 - Wordspace and their applications to search engines or NLP.
 - Motivations and different techniques for word embeddings
 - Statistical NLP and its applications in the Web
 - Semantic role labeling (as a sequence classification task)
 - Sentiment analysis Opinion Mining
 - Question Answering

Open Questions: examples

- Targets ML methods:
 - 1. Kernel methods
 - 2. Metodi di neural learning
 - 3. Word spaces (for Query Expansion) and Word Embedding through NNs
 - 4. Sentiment Analysis sulle reviews
- Request:
 - Define basic methodological assumptions of the problem (model assumptions, type of observations available, external resources)
 - Describe the pseudo-algorithm or the functional architecture adopted for solving the task
 - Discuss the possible evaluation metrics
 - Discuss the potential applications of the proposed solution

Open Questions

- Discuss the main differences between Support Vector Machines and the approach of Neural Networks in supervised learning tasks
- 2. Discuss the main architectures for deep learning and their differences, describing also their main applications
- 3. Please define and discuss the notion of kernel functions in the area of Statistical Learning Theory. Provide examples of their application to classification probelms in the Web or NLP tasks
- 4. Please determine the main computational challanges related to the task of Sentiment Analysis and discuss potential resources used to approach them.

Final Test: Example of Open Question

Please discuss the application of ML methods (such as markov models or NNs) to *sequence labeling tasks*. (Make use of an example through an applications, such as POS tagging of natural languae sentences)

Request:

- Define basic methodological assumptions of the approaches
- Define the basic notions of state, transitions and emission
- Define the general model equations and discuss the algorithmics
- Discuss the possible evaluation metrics

Variants

- Apply the HMM or NN modeling to the problem of URL recognition in free texts.
- Please make use of the state lables such as IOB for the start (B), inner (I) and outern (O) elements of a valid URL.
- Define the state vocabulary, the transition and emission matrices. Discuss possible parameter estimate techniques and their corresponding challenges.

Open Questions: comments on paper

- Read and discuss the following paper
 - Breeding Fillmore's Chickens and Hatching the Eggs: Recombinding Frames and Roles in Frame-Semantic Parsing, IWSC 2021
 - Positional Attention-based Frame Identification With Bert: A Deep Learning Approach To Target Disambiguation And Semantic Frame Selection, 2019
 - EMPATHBERT: A BERT-based Framework for Demographic-aware Empathy Prediction, 2021
 - Robust Named Entity Recognition and Linking on Historical Multilingual Documents, 2020
- Targets:
 - The task (e.g. SRL, TC, NERC, SA, ...)
 - The datasets,
 - The NN model, the resources (e.g. pretrained BERT models),
 - · Main results, Observations/criticisms of the paper
 - Link the Course Program sections used to understand the main paper contents

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WMR a.a. 2022-23: Summer Exam Session

- <u>Second Mid Term (*)</u>:
 - June 21 2023, Aula tbd, h. 10:00-13:30
 - Oral discussion: around June 26, 2023
- First Final test (*):
 - June 21 2023, Aula tbd, h. 10:00 13:30
 - Oral discussion: around June 26, 2023
- Second Final test (*):
 - 15(18) July 2023, h. 10:00-13:30
 - Oral discussion: around July 21, 2020
- Lab exercises: optional before the final (or MidTerm2) written tests
- Projects (mandatory for 9 CFU):
 - Project presentation (after the Final Test)
 - Discussion via slides (ca 20 mins), when finished, whenever agreed upon with the teachers (via mail)

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