Advanced NN Architectures: CNNs

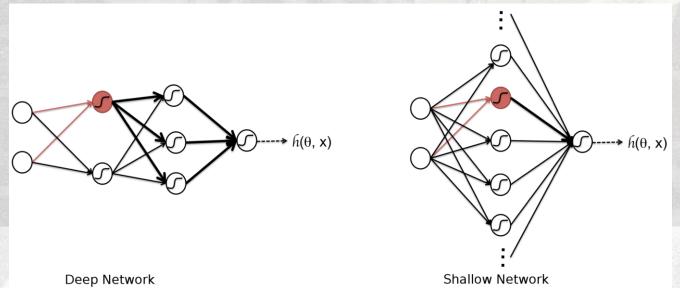
Roberto Basili, Danilo Croce Machine Learning, Deep Learning 2023/2024

Outline

- Architectures and tasks
- Convolutional Neural Networks
 - Filters and Convolutions
 - Pooling
- Imagenet
- Applications of NNs:
 - Image processing: classification, Object Recognition
 - Text Classification:
 - Convolutional NNs over texts
 - Sentiment analysis
 - The Movie Review Dataset

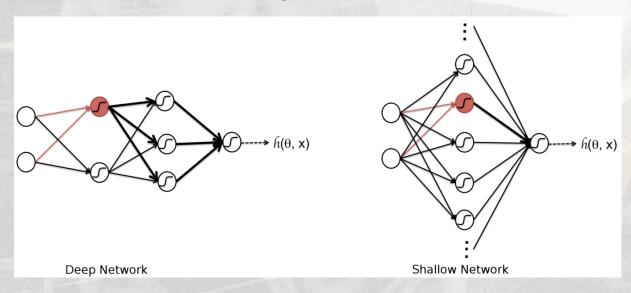
Deep vs Shallow Networks

- Deep networks should be preferred to Shallow ones
 - when problems are non-linear;
 - is has been observed that a shallow network needs about 10x number of neurons for reaching the expressivity of a deep one



Deep vs Shallow Networks: Intuition

- Think of a neuron as a program routine
 - in Deep Networks a neuron computation is re-used many times in the computation
 - in a Shallow Network it is used only once
- Using a shallow network is similar to writing a program without the ability of calling subroutines



Deep Networks vs. Kernel

- A kernel machine can be thought of as a shallow network having a huge hidden layer
 - this hidden layer is never computed thanks to the kernel trick
- Kernel methods however are expensive
 - they rely on a set of examples, support vectors
 - for large dataset and complex problems this set can be large as well
- Neural networks computation
 - is independent on the dataset,
 - but only on the number of connections that have been chosen

NN architectures

- Multilayer perceptron (Rumelhart MCClelland, 1980)
- Self-Organizing maps (Kohonen, 1990)
- Boltzman Machines (Hinton, 1998)
- Convolutional Neural Networks (Neocogitron, Fukushima (1980))
- Recurrent Neural Networks (Jordan, 1986), (Elman, 1990)
 - Bidirectional RNNs (Schuster and Paliwal, 1997)
 - BP Through-Time (Robinson & Fallside, 1987)
 - Long Short Time Memories LSTMS, (Hochreiter & Schmidhuber, 1997)
 - Attention mechanisms (firstly discussed by (Larochelle & Hinton, 2010; Denil et al., 2012)).
- Autoencoders (Bengio et al., 2007), Encoder-Decoders (Cho et al., 2015)

Recent successes in Deep Learning

- Convolution Neural Networks
 - Images related tasks
- Recurrent Neural Networks
 - Language models
 - Speech to Text
 - Machine Translation, Conversation Models
- Attentional Networks
 - Attention mechanisms to amplify dependencies across network components
- Trasformers:
 - Encoding-decoding networks for powerful pretraining
 - Avoid the forgetting problems typical of recurrent acrhitectures
- Large Language Models and Prompting
 - Encoding-Decoding at the Natural Language level
 - Decoding only transformers
 - 0-shot or few-shot Learning
- Advanced architectures: multimodality
 - Image to Captions
 - Text-generated Images (Dall-E)

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Architectures and tasks



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Convolutional Neural Networks (Le Cun, 1998)

- Mainly used for images related tasks
 - image classification
 - face detection
 - etc...

Learn feature representations

- by convolving over the input
- with a filter, that slides over the input image

Compositionality (local)

 Each filter composes a local patch of lower-level features into a higher-level representation

Location Invariance

 the detection of specific patterns is independent of where it occurs

1	0	1
0	1	0
1	0	1

1,	1_×0	1,	0	0
0,0	1,	1,0	1	0
0 _{×1}	0,0	1,	1	1
0	0	1	1	0
0	1	1	0	0

Image

Convolved Feature

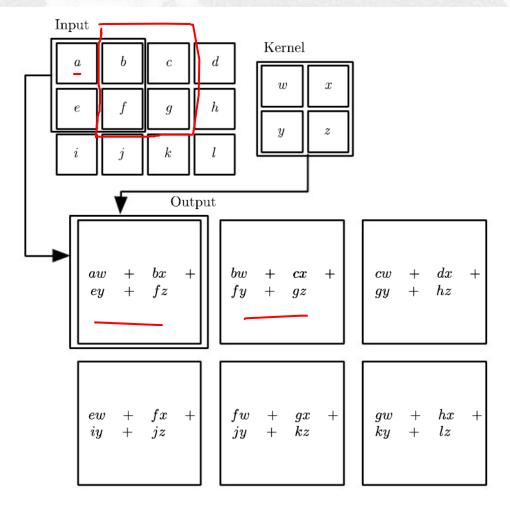
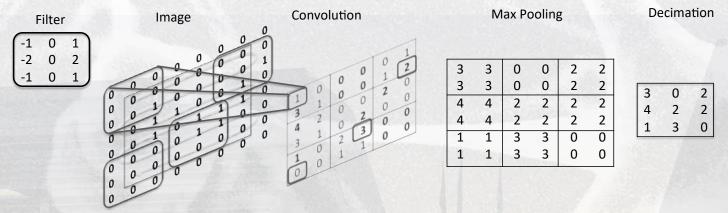


Figure 9.1: An example of 2-D convolution without kernel flipping. We restrict the output to only positions where the kernel lies entirely within the image, called "valid" convolution in some contexts. We draw boxes with arrows to indicate how the upper-left element of the output tensor is formed by applying the kernel to the corresponding upper-left region of the input tensor.

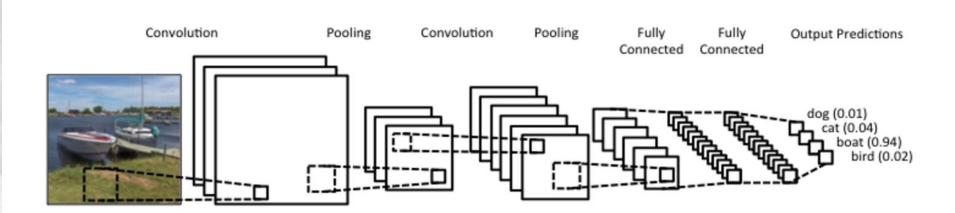
A futher example of: convolution with pooling, and decimation operations



- An image is convolved with a filter; curved rectangular regions in the first large matrix depict a random set of image locations
- Maximum values within small 2×2 regions are indicated in bold in the central matrix
- The results are pooled, using max-pooling then decimated by a factor of two, to yield the final matrix



- CNNs automatically learn the parameters of the filters
 - a filter is a matrix of parameters
 - the key aspect is that a filter is adopted for the whole image
- Convolution can be applied in multiple layers
 - a layer l+1 is computed by convolving over output produced in layer l
 - Pooling is an operation often adopted for taking the most informative features that are learned after a convolution step

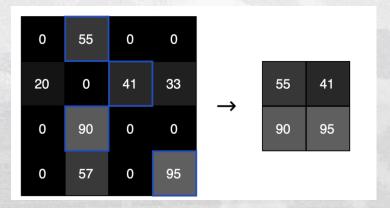


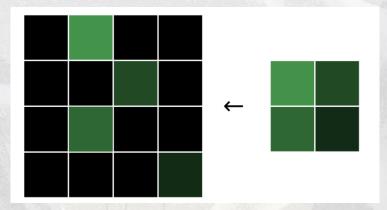
Pooling and subsampling layers

- What are the consequences of backpropagating gradients through max or average pooling layers?
- Max pooling: the units that are responsible for the maximum within each zone j, k —the "winning units"— are the only to get the backpropagated gradient
- Average pooling: the averaging is simply a special type of convolution with a fixed kernel that computes the (possibly weighted) average of pixels in a zone
 - the required gradients are therefore like std conv. layers
- The subsampling step either samples every nth output, or avoids needless computation by only evaluating every nth pooling computation

Training in CNN: Backpropagation and Max Pooling

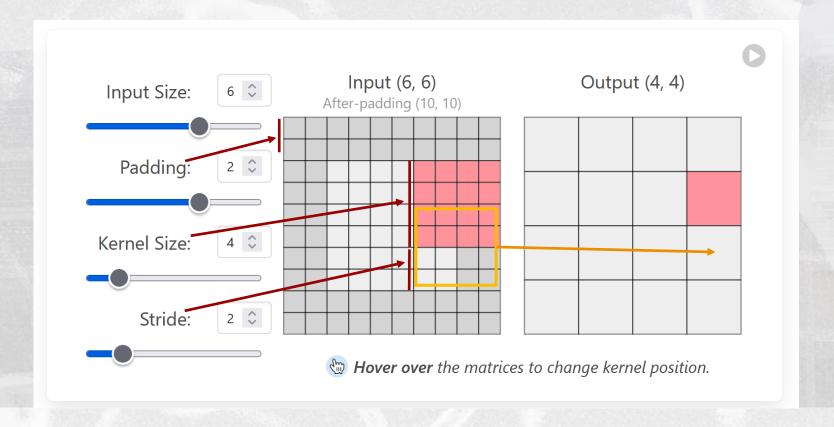
- A Max Pooling layer can't be trained because it doesn't actually have any weights
 - It still supports a method for it to calculate gradients





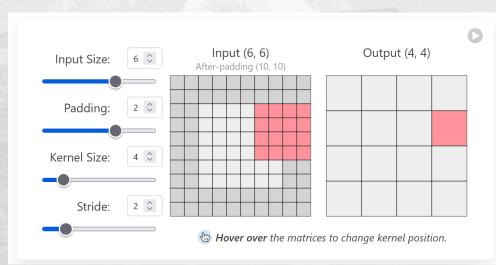
- How is ∂L / ∂inputs ?
 - An input pixel that isn't the max value in its 2x2 block have zero marginal effect on the loss, as any slightly change of its value wouldn't change the output at all!
 - $\partial L / \partial inputs = 0$ for any non-max pixels.
 - On the other hand, an input pixel that is the max value would have its value passed through to the output, so ∂ output / ∂ input = 1, meaning ∂ L / ∂ input = ∂ L / ∂ output.

Training a CNN: terminology



Dimensions

The dimension of the ouput is the following



$$O = \frac{InputD - KernelD + 2PaddingD}{StrideD} + 1$$

In the example:
$$0 = \frac{InputD - KernelD + 2PaddingD}{StrideD} + 1 = \frac{6 - 4 + 2 \cdot 2}{2} + 1 = 3 + 1 = 4$$

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<u>Imagenet</u>

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The ImageNet challenge

- Crucial in demonstrating the effectiveness of deep CNNs
- Problem: recognize object categories in Internet imagery
- The 2012 ImageNet Large Scale Visual Recognition Challenge (ILSVRC) classification task classify image from Flickr and other search engines into 1 of 1000 possible object categories
- Serves as a standard benchmark for deep learning
- The imagery was hand-labeled based on the presence or absence of an object belonging to these categories
- There are 1.2 million images in the training set with 732-1300 training images available per class
- A random subset of 50,000 images was used as the validation set, and 100,000 images were used for the test set where there are 50 and 100 images per class respectively



ImageNet Home Page

IM♣GENET Large Scale Visual Recognition Challenge 2017 (ILSVRC2017)

Introduction News History Timetable Challenges FAQ Citation Contact

Introduction

This challenge evaluates algorithms for object localization/detection from images/videos at scale. Most successful and innovative teams will be invited to present at **CVPR 2017 workshop**.

- I. Object localization for 1000 categories.
- II. Object detection for 200 fully labeled categories.
- III. Object detection from video for 30 fully labeled categories.

Goal

ImageNet

ILSVRC



- Rough
- Annual competition of image classification at large scale Collect • Turk
 - 1.2M images in 1K categories
 - Classification: make 5 guesses about the image label





EntleBucher



Appenzeller



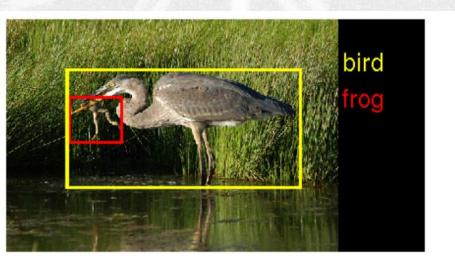
Images, Class labels and Bounding boxes

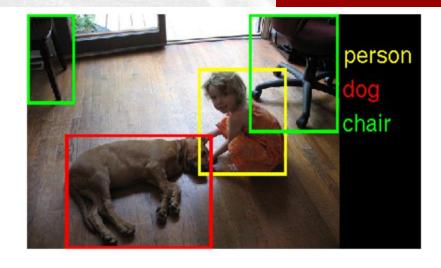
The ground truth labels for the image are $C_k, k=1,\ldots n$ with n class labels. For each ground truth class label C_k , the ground truth bounding boxes are $B_{km}, m=1\ldots M_k$, where M_k is the number of instances of the k^{th} object in the current image.

Let $d(c_i, C_k) = 0$ if $c_i = C_k$ and 1 otherwise. Let $f(b_i, B_k) = 0$ if b_i and B_k have more than 50% overlap, and 1 otherwise. The error of the algorithm on an individual image will be computed using:

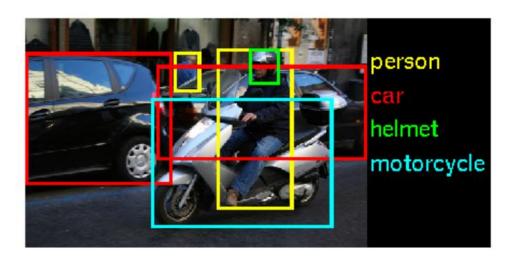
$$e = rac{1}{n} \cdot \sum_{k} min_{i}min_{m}max\{d(c_{i}, C_{k}), f(b_{i}, B_{km})\}$$

ILSVRC2014 Examples









A plateau, then rapid advances

- "Top-5 error" is the % of times that the target label does not appear among the 5 highest-probability predictions
- Visual recognition methods not based on deep CNNs hit a plateau in performance at 25%

Name	Layers	Top-5 Error (%)	References
AlexNet	8	15.3	Krizhevsky et al. (2012)
VGG Net	19	7.3	Simonyan and Zisserman (2014)
ResNet	152	3.6	He et al. (2016)

- Note: the performance for human agreement has been measured at 5.1% top-5 error
- Smaller filters have been found to lead to superior results in deep networks: the methods with 19 and 152 layers use filters of size 3×3

Simple filtering example

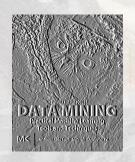
- Ex. consider the task of detecting edges in an image
- A well known technique is to filter an image with so-called "Sobel" filters, which involves convolving it with

$$\mathbf{W}_{x} = \begin{bmatrix} -1 & 0 & 1 \\ -2 & 0 & 2 \\ -1 & 0 & 1 \end{bmatrix}, \qquad \mathbf{W}_{y} = \begin{bmatrix} -1 & -2 & -1 \\ 0 & 0 & 0 \\ 1 & 2 & 1 \end{bmatrix}$$

Applied to the image X below, we have:





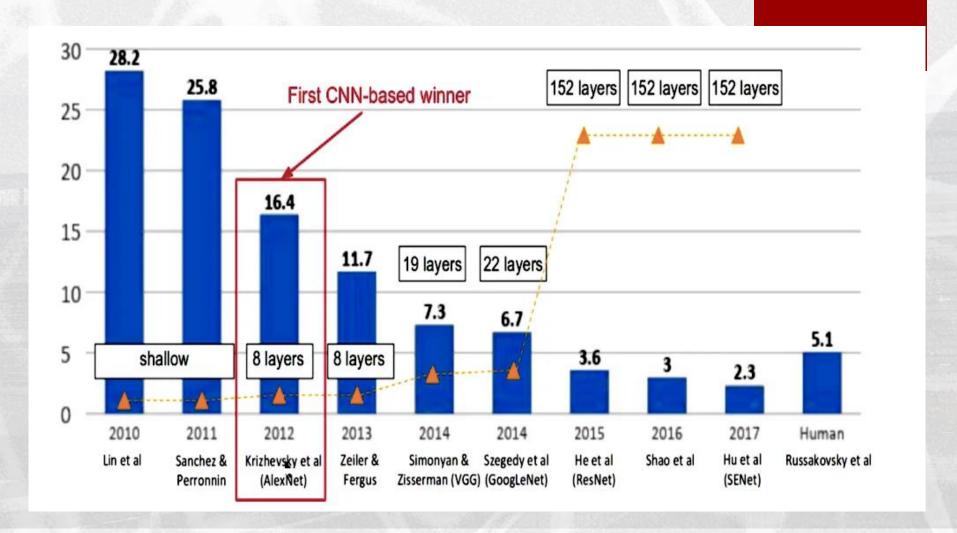


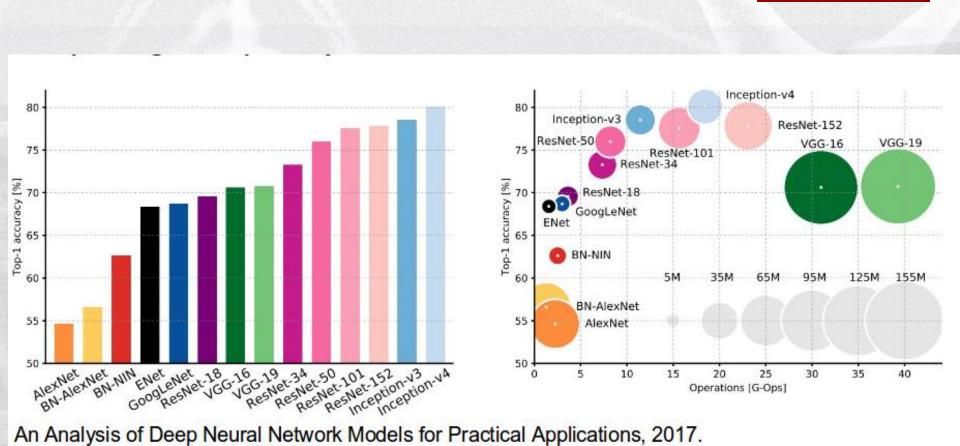


$$\mathbf{G}_{x} = \mathbf{W}_{x} * \mathbf{X}$$

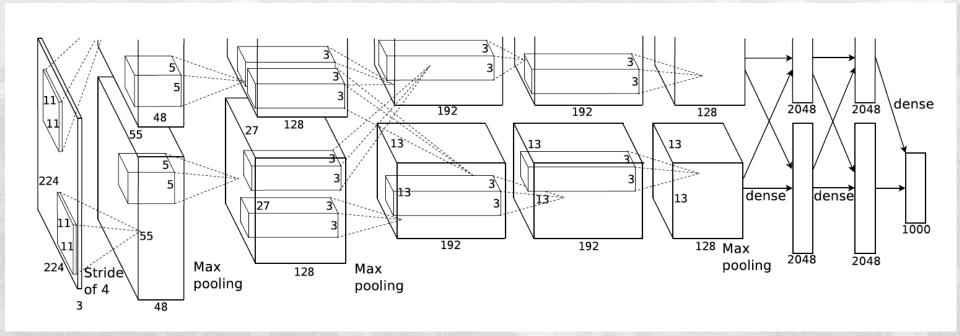
$$\mathbf{G}_{y} = \mathbf{W}_{y} * \mathbf{X}$$

$$\mathbf{G}_{x} = \mathbf{W}_{x} * \mathbf{X} \qquad \mathbf{G}_{y} = \mathbf{W}_{y} * \mathbf{X} \qquad \mathbf{G} = \sqrt{\mathbf{G}_{x}^{2} + \mathbf{G}_{y}^{2}}$$

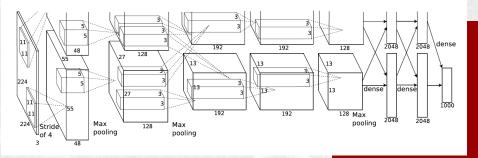




An example: ALexNet (8 Layers)



AlexNet won the 2012 ImageNet competition with a top-5 error rate of 15.3%, compared to the second place top-5 error rate of 26.2%



AlexNet: Overview

Layer	# filters / neurons	Filter size	Stride	Padding	Size of feature map	Activation function	
Input	=	-		-	227 x 227 x 3	-	
Conv 1	96	11 x 11	4	-	55 x 55 x 96	ReLU	
Max Pool 1	-	3 x 3	2	-	27 x 27 x 96	-	
Conv 2	256	5 x 5	1	2	27 x 27 x 256	ReLU	
Max Pool 2	-	3 x 3	2	-	13 x 13 x 256	-	
Conv 3	384	3 x 3	1	1	13 x 13 x 384	ReLU	
Conv 4	384	3 x 3	1	1	13 x 13 x 384	ReLU	
Conv 5	256	3 x 3	1	1	13 x 13 x 256	ReLU	
Max Pool 3	-	3 x 3	2	-	6 x 6 x 256	-	
Dropout 1	rate = 0.5	-		-	6 x 6 x 256	-	
Fully Connected 1	-	-	-	-	4096	ReLU	
Dropout 2	rate = 0.5	-	-	-	4096	-	
Fully Connected 2	-	-	-	-	4096	ReLU	
Fully Connected 3	-	-	-	-	1000	Softmax	

 $\hat{y}_i = \frac{e^{y_i}}{\sum_{i=1}^{1000} e^{y_i}}$

AlexNet: the architecture

- It has 8 layers with learnable parameters.
- The input to the Model is RGB images.
- It has 5 convolution layers with a combination of max-pooling layers.
- Then it has 3 fully connected layers.
- The activation function used in all layers is **Relu**, whereas **Softmax** is used in the output layer is
- It used two Dropout layers.
- The total number of parameters in this architecture is 62.3 million.

What has been learnt?

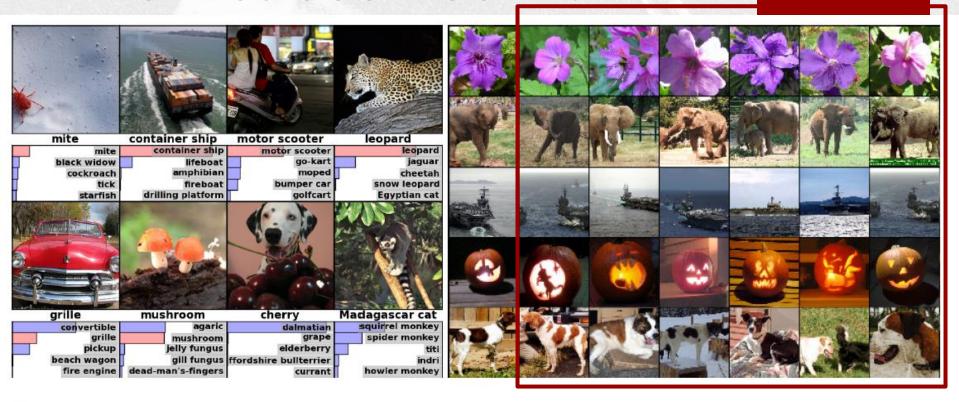
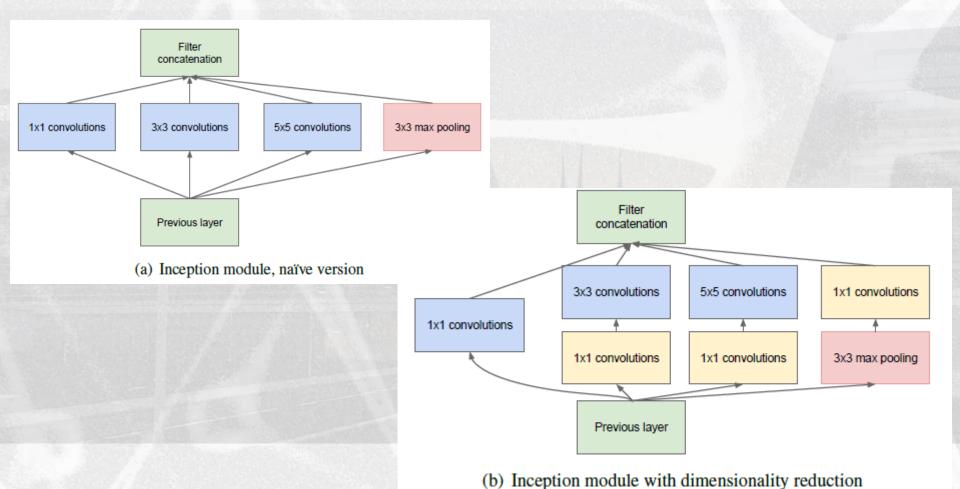
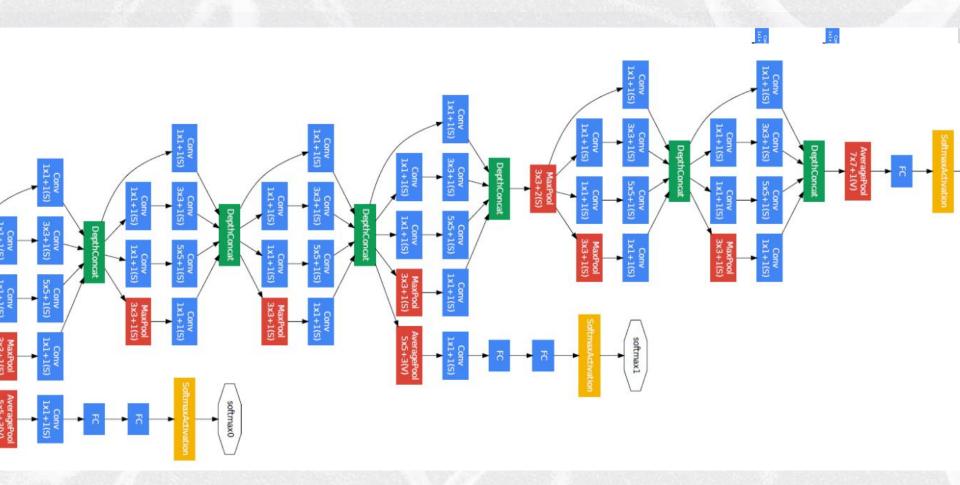


Figure 4: (**Left**) Eight ILSVRC-2010 test images and the five labels considered most probable by our model. The correct label is written under each image, and the probability assigned to the correct label is also shown with a red bar (if it happens to be in the top 5). (**Right**) Five ILSVRC-2010 test images in the first column. The remaining columns show the six training images that produce feature vectors in the last hidden layer with the smallest Euclidean distance from the feature vector for the test image.

GoogleLeNet (Inception V1)



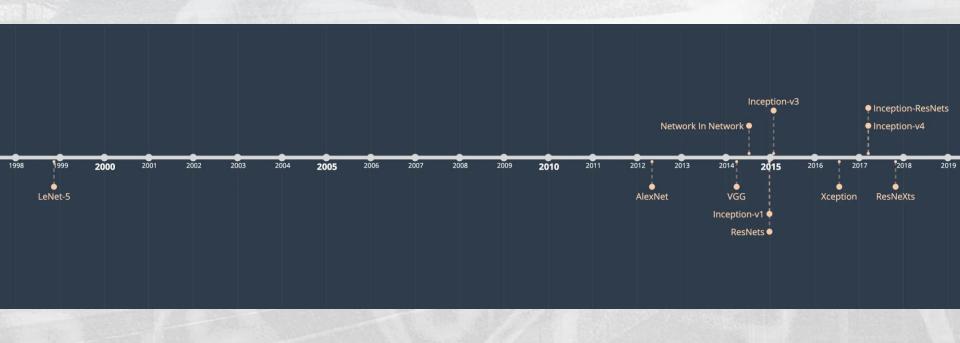
The full architecture



Parameters in GoogleLeNet

type	patch size/ stride	output size	depth	#1×1	#3×3 reduce	#3×3	#5×5 reduce	#5×5	pool proj	params	ops
convolution	7×7/2	112×112×64	1							2.7K	34M
max pool	3×3/2	$56 \times 56 \times 64$	0								
convolution	3×3/1	$56 \times 56 \times 192$	2		64	192				112K	360M
max pool	3×3/2	$28 \times 28 \times 192$	0								
inception (3a)		$28 \times 28 \times 256$	2	64	96	128	16	32	32	159K	128M
inception (3b)		$28 \times 28 \times 480$	2	128	128	192	32	96	64	380K	304M
max pool	3×3/2	$14 \times 14 \times 480$	0								
inception (4a)		$14 \times 14 \times 512$	2	192	96	208	16	48	64	364K	73M
inception (4b)		$14 \times 14 \times 512$	2	160	112	224	24	64	64	437K	88M
inception (4c)		$14 \times 14 \times 512$	2	128	128	256	24	64	64	463K	100M
inception (4d)		$14 \times 14 \times 528$	2	112	144	288	32	64	64	580K	119M
inception (4e)		$14 \times 14 \times 832$	2	256	160	320	32	128	128	840K	170M
max pool	3×3/2	$7 \times 7 \times 832$	0								
inception (5a)		$7 \times 7 \times 832$	2	256	160	320	32	128	128	1072K	54M
inception (5b)		$7 \times 7 \times 1024$	2	384	192	384	48	128	128	1388K	71M
avg pool	7×7/1	$1\times1\times1024$	0								
dropout (40%)		$1\times1\times1024$	0								
linear		$1\times1\times1000$	1							1000K	1 M
softmax		$1\times1\times1000$	0								

CNN Timeline



Visualization



Visualizing the filters learned by a CNN

- Learned edge-like filters and texture-like filters are frequently observed in the early layers of CNNs trained using natural images
- Since each layer in a CNN involves filtering the feature map below, so as one moves up the receptive fields become larger
- Higher- level layers learn to detect larger features, which often correspond to textures, then small pieces of objects

How to visualize hidden layers

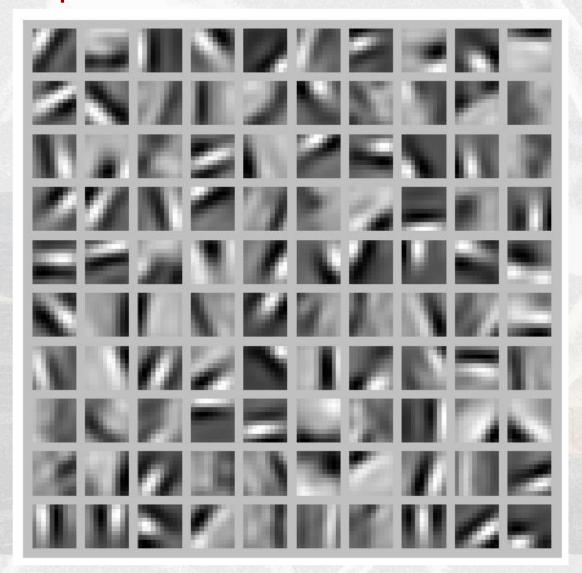
Imagine to train a neural classifier on 10 × 10 images, so that n = 100. Each hidden unit i computes a function of the input:

$$a_i^{(2)} = f\left(\sum_{j=1}^{100} W_{ij}^{(1)} x_j + b_i^{(1)}\right)$$

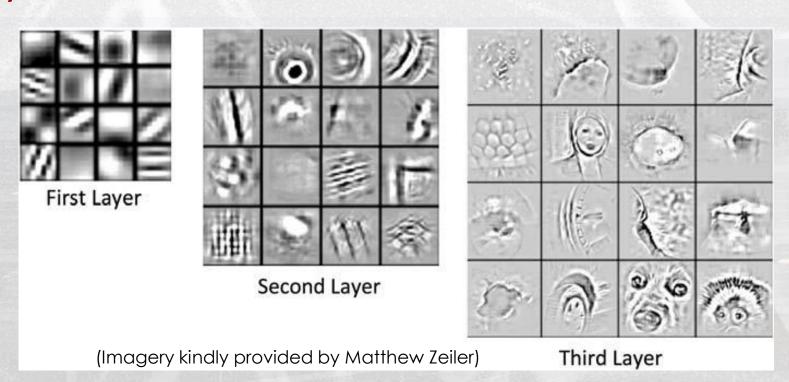
- What input image \underline{x} would cause $a^{(1)}$; to be maximally activated?
- (When $||x||^2 = \sum_{i=1}^{100} x_i^2 \le 1$) the input which maximally activates hidden unit i is given by setting pixel x_i to:

$$x_j = \frac{W_{ij}^{(1)}}{\sqrt{\sum_{j=1}^{100} (W_{ij}^{(1)})^2}}$$

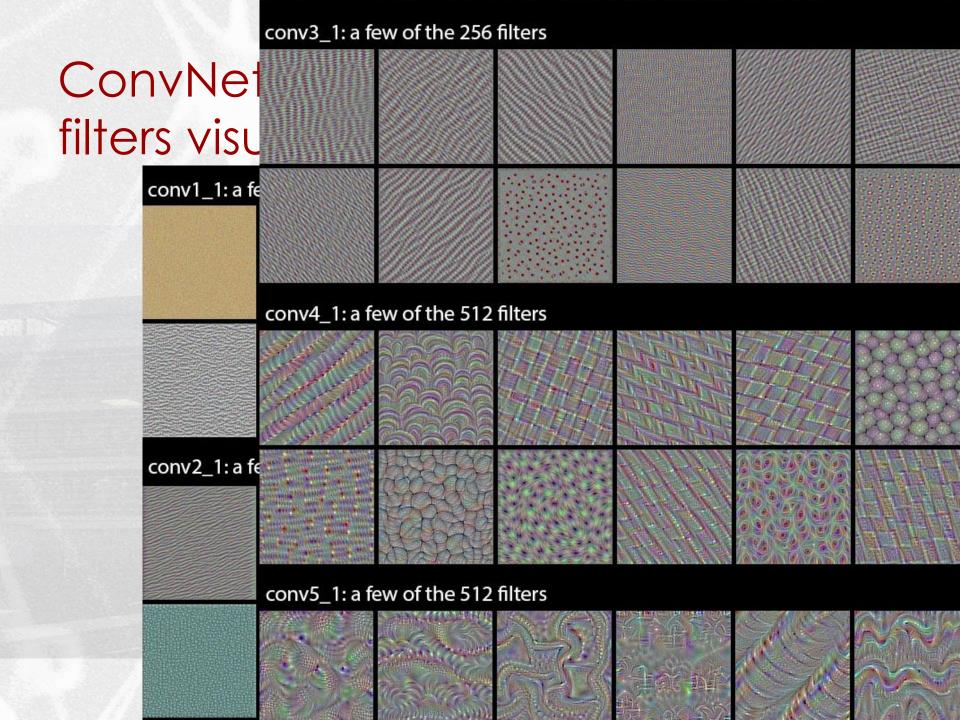
Example: 100 hidden units



Visualizing the filters learned by a CNN



• Above are the strongest activations of random neurons projecting the activation back into image space using the deconvolution approach of Zeiler and Fergus (2013).



An interesting visualization tool

- CNN Explainer
 - https://poloclub.github.io/cnn-explainer/
 - Paper at: https://arxiv.org/abs/2004.15004

Current CNNs: YOLO

- YOLO stands for You Only Look Once and is a popular realtime object detection algorithm.
- YOLO unfies a multi-step process by using a single neural network to perform both classification and prediction of bounding boxes for detected objects.
- As such, it is heavily optimized for detection performance, about 45 frames per second.
- It can run much faster than running two separate neural networks to detect and classify objects separately.

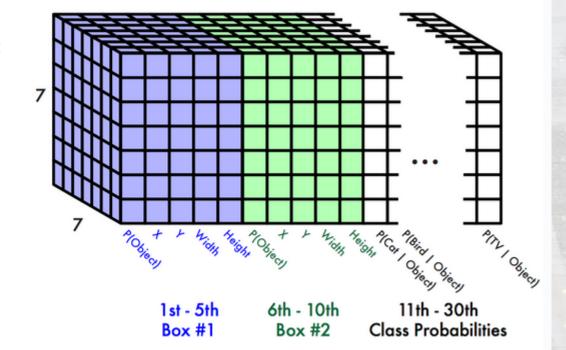


Each cell predicts:

- For each bounding box:
 - 4 coordinates (x, y, w, h)
 - 1 confidence value
- Some number of class probabilities

For Pascal VOC:

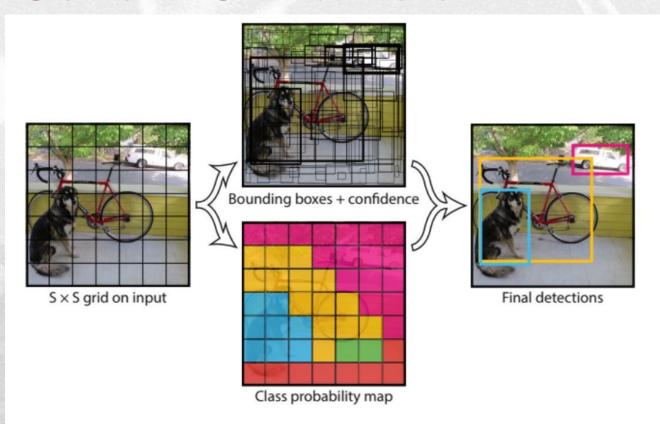
- 7x7 grid
- 2 bounding boxes / cell
- 20 classes

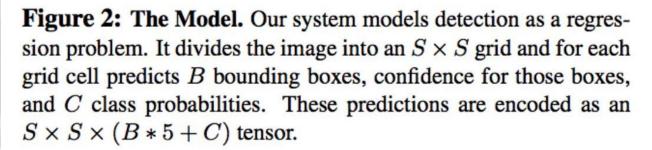


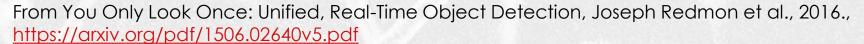
 $7 \times 7 \times (2 \times 5 + 20) = 7 \times 7 \times 30$ tensor = **1470 outputs**

See also: https://pjreddie.com/publications/

Current CNNs: Yolo







Current CNNs: Yolo

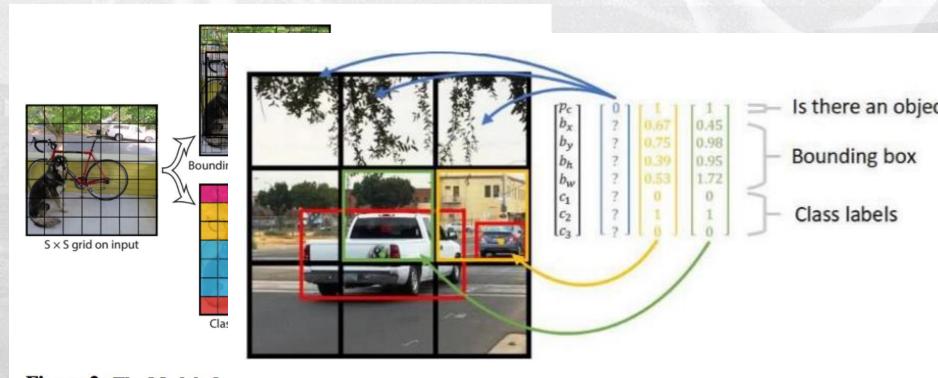
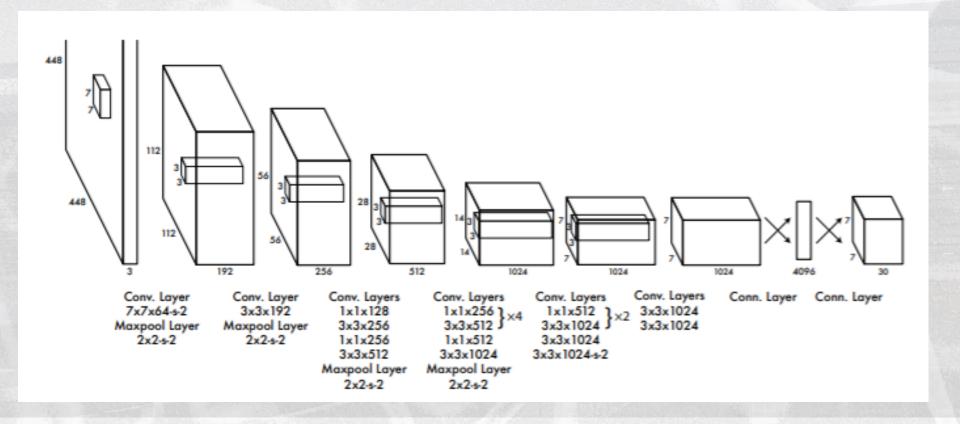


Figure 2: The Model. Our system models detection as a regression problem. It divides the image into an $S \times S$ grid and for each grid cell predicts B bounding boxes, confidence for those boxes, and C class probabilities. These predictions are encoded as an $S \times S \times (B*5+C)$ tensor.

Yolo: the architecture



Yolo: Results

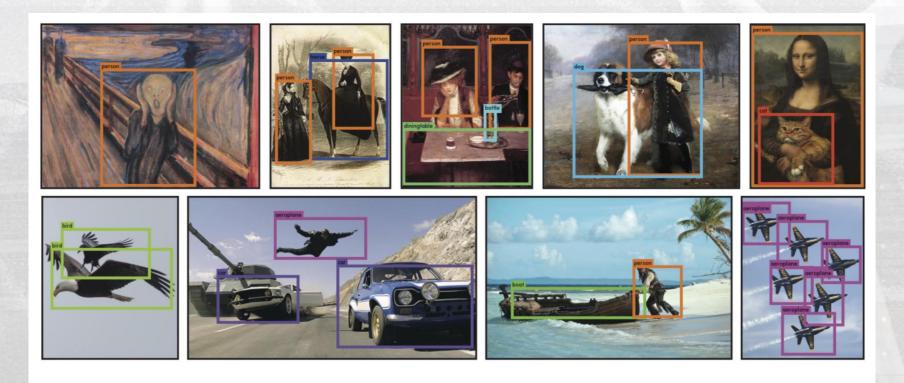
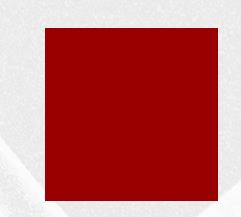


Figure 6: Qualitative Results. YOLO running on sample artwork and natural images from the internet. It is mostly accurate although it does think one person is an airplane.

Integrating image and texts

- Object Recognition usually employs ad hoc training data sets implying ad hoc CNN models
- The paper (*) demonstrates that the simple pre-training task of predicting which caption goes with which image is an efficient and scalable way to learn SOTA image representations from scratch on a dataset of 400 million (image, text) pairs collected from the internet.
- After pre-training, natural language is used to reference learned visual concepts (or describe new ones) enabling zero-shot transfer of the model to downstream tasks.
- Zero-shot learning: solving an object recognition task without ANY training example
- The IDEA: Optimizing the behaviours of image classifiers trained with natural language supervision at large scale.
- (*) Learning Transferable Visual Models From Natural Language Supervision, Redford et al, 2021 https://arxiv.org/abs/2103.00020v1

CLIP (Contrastive Language-Image Pre-training)



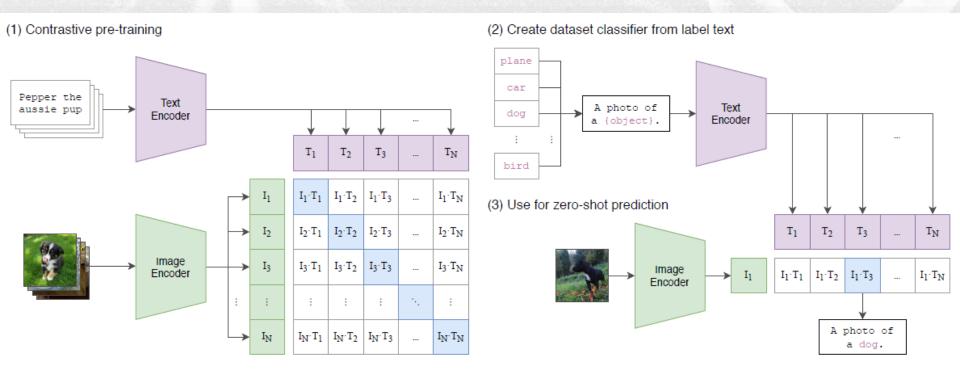


Figure 1. Summary of our approach. While standard image models jointly train an image feature extractor and a linear classifier to predict some label, CLIP jointly trains an image encoder and a text encoder to predict the correct pairings of a batch of (image, text) training examples. At test time the learned text encoder synthesizes a zero-shot linear classifier by embedding the names or descriptions of the target dataset's classes.

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Sentence encoding & convolution

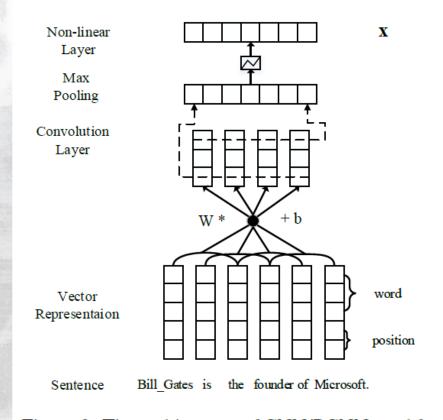


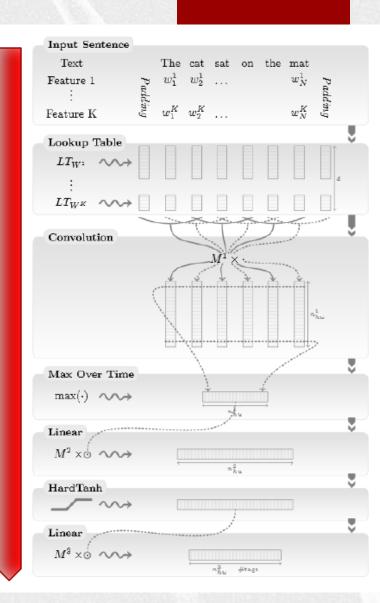
Figure 2: The architecture of CNN/PCNN used for sentence encoder.

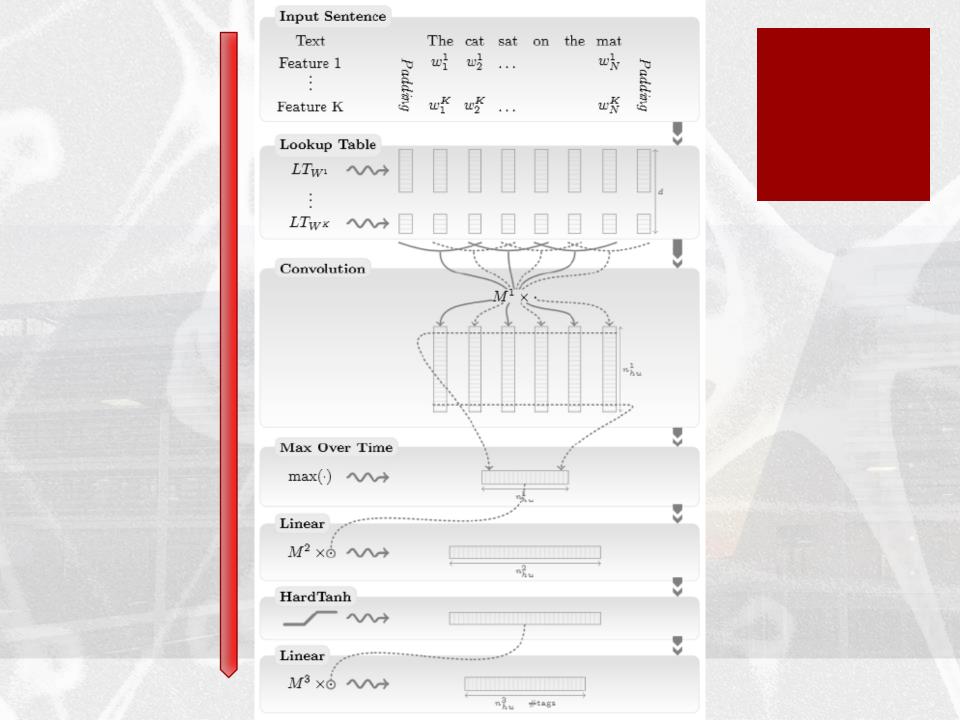
from Yankai Lin, Shiqi Shen, Zhiyuan Liu, Huanbo Luan, and Maosong Sun. 2016. Neural Relation Extraction with Selective Attention over Instances. ACL 2016.

From Collobert et al., 2011

- In this contribution, we try to excel on multiple benchmarks while avoiding task-specific engineering. Instead we use a single learning system able to discover adequate internal representations. In fact we view the benchmarks as indirect measurements of the relevance of the internal representations discovered by the learning procedure, and we posit that these intermediate representations are more general than any of the benchmarks.
- Our desire to avoid task-specific engineered features prevented us from using a large body of linguistic knowledge
- The architecture takes the input sentence and learns several layers of feature extraction that process the inputs. The features computed by the deep layers of the network are automatically trained by backpropagation to be relevant to the task.

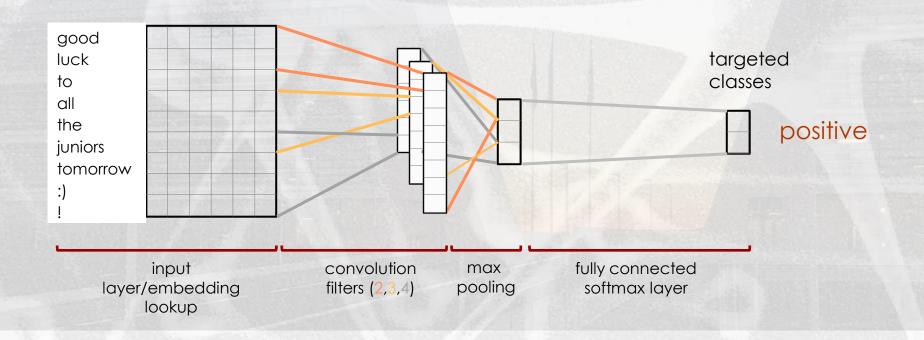
- Collobert and Weston used CNNs to achieve (near) state-of-the-art results on many traditional NLP tasks, such as POS tagging, SRL, etc.
- CNN at the bottom + CRF on top.
- Collobert et al., "Natural Language Processing (almost) from scratch", JLMR 2011.





A CNN architecture for sentence classification (Kim, 2014)

good luck to all the juniors tomorrow:)!



Multi-channel CNNs

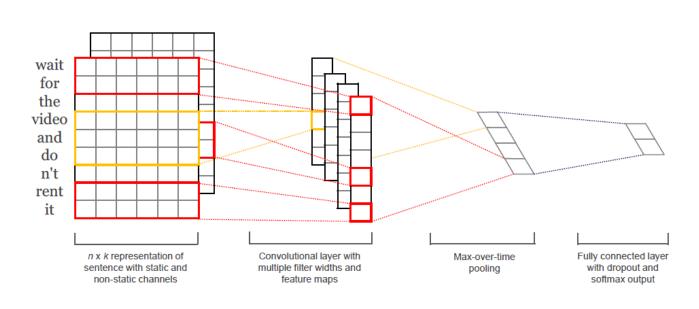


Figure 1: Model architecture with two channels for an example sentence.

- ▶ Two "channels" of embeddings (i.e. look-up tables).
- ▶ One is allowed to change, while one is kept fixed.
- ▶ Both initialized with word2vec.

Datasets

Sentence/phrase-level classification tasks

Data	С	1	N	V	$ V_{pre} $	Prev SotA
MR	2	20	10662	18765	16448	79.5
SST-1	5	18	11855	17836	16262	48.7
SST-2	2	19	9613	16185	14838	87.8
Subj	2	23	10000	21323	17913	93.6
TREC	6	10	5952	9592	9125	95.0
CR	2	19	3775	5340	5046	82.7
MPQA	2	3	10606	6246	6083	87.2

- c: number of labels
- ► 1: average sentence length
- N: number of sentences
- ightharpoonup |V|: vocab size ($|V_{pre}|$ is words already in word2vec)

MR dataset (Pang & Lee, 2005)

Negative:

- "it's so laddish and juvenile, only teenage boys could possibly find it funny."
- while the performances are often engaging, this loose collection of largely improvised numbers would probably have worked better as a one-hour tv documentary.

Positive

- if you sometimes like to go to the movies to have fun, wasabi is a good place to start.
- gosling provides an amazing performance that dwarfs everything else in the film.

SST (Stanford Sentiment Treebank, 2013)

- This was the worst restaurant I have ever had the misfortune of eating at.
- The restaurant was a bit slow in delivering their food, and they didn't seem to be using the best ingredients.
- This restaurant is pretty decent— its food is acceptable considering the low prices.
- This is the best restaurant in the Western Hemisphere, and I will definitely be returning for another meal!

Complex cases:

I do not hate this restaurant. (Negation)

I just love being served cold food! (Sarcasm)

The food is unnervingly unique. (Negative words being positive)

Data	Prev SotA	CNN-rand	CNN-static	CNN-nonstatic
MR	79.5	76.1	81.0	81.5
SST-1	48.7	45.0	45.5	48.0
SST-2	87.8	82.7	86.8	87.2
Subj	93.6	89.6	93.0	93.4
TREC	95.0	91.2	92.8	93.6
CR	82.7	79.8	84.7	84.3
MPQA	87.2	83.4	89.6	89.5

- ► Fine-tuning vectors helps, though not that much.
- Perhaps our embeddings are overfitting (given the relatively small training sample)?

Data	Prev SotA	CNN-nonstatic	CNN-multichannel
MR	79.5	81.5	81.1
SST-1	48.7	48.0	47.4
SST-2	87.8	87.2	88.1
Subj	93.6	93.4	93.2
TREC	95.0	93.6	92.2
CR	82.7	84.3	85.0
MPQA	87.2	89.5	89.4

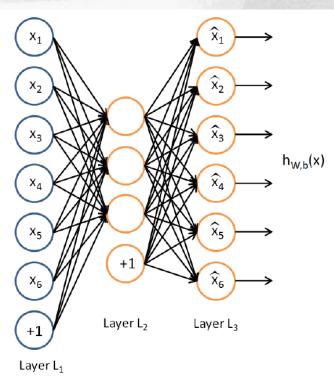
[▶] Performance is not statistically different from CNN-nonstatic.

What's next: autoencoders

 An autoencoder neural network is an unsupervised learning algorithm that applies backpropagation, setting the target values to be

equal to the input itself, i.e., it uses

$$y(i) = x(i)$$



Autoencoders

- Suppose the inputs \underline{x} are the pixel intensity values from a 10×10 image (100 pixels) so n = 100, and
- there are s2 = 50 hidden units in layer L2.
- Note that we also have $y \in \mathbb{R}^{100}$.
- Since there are only 50 hidden units, the network is forced to learn a compressed representation of the input. I.e., given only the vector of hidden unit activations $a^{(2)} \in \mathbb{R}^{50}$, it must try to reconstruct the 100-pixel input \underline{x} .
- Compressed representation may be seen as lower dimensional embeddings
- Images? Sentences? Longer Texts?

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- Some of this slides are based on
 - https://cs.stanford.edu/~quocle/tutorial1.pdf
 - http://web.stanford.edu/class/cs294a/sparseAutoencoder_2011new.
 pdf